

Attorney Docket No. 01017/LH

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Applicant(s): Shinji NAKAHARA et al
Serial No. : 09/744,363
Filed : January 23, 2001
For : EPITAXIAL GROWTH FURNACE
Art Unit :
Examiner :

LETTER

ATTENTION: BOX PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

S I R :

This is responsive to the NOTIFICATION OF MISSING
REQUIREMENTS dated March 6, 2001, a copy of which is attached.

The executed Declaration and the surcharge of \$130.00 were
filed in the Patent Office on February 21, 2001. Attached is a
photocopy of the return receipt postcard evidencing receipt
thereof by the USPTO.

Submitted herewith is a duplicate copy of the executed
Declaration filed February 21, 2001, along with a copy of the
transmittal letter forwarding same to the Patent Office.

CERTIFICATE OF MAILING

I hereby certify that this
correspondence is being
deposited with the United
States Postal Service with
sufficient postage as First
Class mail in an envelope
addressed to: Assistant
Commissioner for Patents,
Washington, D.C. 20231 on the
date noted below.

Attorney: Leonard Holtz

Dated: March 12, 2001

In the event that this Paper
is late filed, and the
necessary petition for
extension of time is not filed
concurrently herewith, please
consider this as a Petition
for the requisite extension of
time, and to the extent not
tendered by check attached
hereto, authorization to
charge the extension fee,
or any other fee required
in connection with this
Paper, to Account No. 06-1378.

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09/744363


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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 Box PCT
 Washington, D.C. 20231

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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09/744,363

NAKAHARA

5071

 FRISHAUF HOLTZ GOODMAN LANGER & CHI
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 767 THIRD AVENUE
 NEW YORK NY 10017-2023

INTERNATIONAL APPLICATION NO. 09/017711

PCT/JP99/03993

I.A. FILING DATE

PRIORITY DATE

07/26/99

07/27/98

DATE MAILED:

03/06/01

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

☒ U.S. Basic National Fee.

☒ Copy of the international application in:

- ☒ a non-English language.
☐ English.

☒ Translation of the international application into English.

☐ Oath or Declaration of inventors(s) for DO/EO/US.

☐ Copy of Article 19 amendments.

☐ Translation of Article 19 amendments into English.

☒ The International Preliminary Examination Report in English and its Annexes, if any.

☐ Translation of Annexes to the International Preliminary Examination Report into English.

☐ Preliminary amendment(s) filed _____ and _____.

☒ Information Disclosure Statement(s) filed 23 JAN 2001 and _____.

☐ Assignment document.

☐ Power of Attorney and/or Change of Address.

☐ Substitute specification filed _____.

☐ Statement Claiming Small Entity Status.

☒ Priority Document.

☒ Copy of the International Search Report ☒ and copies of the references cited therein.

☒ Other: PCT EASY 2.84; DECLARATION NOT ENCLOSED WITH APPLICATION

2. The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.

☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.

- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).

☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the international application number and international filing date.

☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.

☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes MUST be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.

5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

 Enclosed: ☐ PCT/DO/EO/917

☐ Notice of Defective Translation

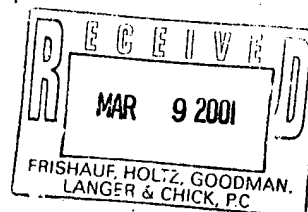
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Karen Williams

 National Stage Processing
 (703) 305-3688

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due 4/6/01



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